#### PATENT APPLICATION TRANSMITTAL LETTER

Assistant Commissioner for Patents Washington, D.C. 20231 Transmitted herewith for filing is the patent application of: Carl J. Serman et al. Entitled: "High Performance Microcellular Foam and Manufacturing Method and Apparatus Thereof" Enclosed are: X 8 sheet/s of drawing/s X An Assignment of the invention to: C.U.E., Inc.

### Small Entity Statement under 37 CFR 1.9 and 1.27 CLAIMS AS FILED

Declaration

No. Filed No. Extra	Small Entity <u>Rate</u>	Non-Small Entity <u>Rate</u>	Charge
Total	,		
Claims1920 =0	x \$ 9.00	x \$ 18.00	\$0.00
Indep.			
Claims 3 -3 = 0	x \$ 40.00	x \$ 80.00	\$ <u>0.00</u>
Multiple Dependent Claim/s	+ \$135.00	+ \$270.00	\$ <u>0.00</u>
Basic Fee	+ \$355.00	+ \$710.00	\$ 355.00
	Total of abov	e Charges	\$0.00_
	Total Fee		\$ 355.00

A check in the amount of \$ 355.00 is enclosed to cover the filing fee.

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16 or patent application processing fees under 37 CFR 1.17 associated with this communication to Deposit Account No. 23-0650. Please refund any overpayment to Deposit Account No. 23-0650.

An original and two copies of this sheet are enclosed.

November 16, 2000

Date

"EXPRESS MAIL" mailing label number EL314742099US

Date of Deposit November 16, 2000 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231

Russell D. Orkin

Attorney for Applicants Registration No. 25,363

700 Koppers Building

436 Seventh Avenue Pittsburgh, PA 15219-1818 Telephone: 412-471-8815

Facsimile: 412-471-4094

Applicant or Patentee: Carl J. Serman et al.  Serial or Patent No.: To Be Assigned	Attorney's Docket No.: 1836-001630			
Filed or Issued: Filed Concurrently Herewith				
For: "High Performance Microcellular Foam and Manufacturing Method and Apparatus Thereof"				

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(e))—SMALL BUSINESS CONCERN
I hereby declare that I am
_X the owner of the small business concern identified below: an official of the small business concern empowered to act on behalf of the concern identified below NAME OF SMALL BUSINESS CONCERN C.U.E., Inc.  ADDRESS OF SMALL BUSINESS CONCERN 11 Leonberg Road Cranberry Township, PA 16066
I hereby declare that the above identified small business concern qualifies as a small business concern a defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United State Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does no exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.
I hereby declare that rights under contract or law have been conveyed to and remain with the small business concert identified above with regard to the invention, entitled "High Performance Microcellular Foam and Manufacturing Method and Apparatus Thereof" by inventor(s) Carl J. Serman and Kary L. Valentine
described in
_X the specification filed herewith application serial no, filed patent no, issued
If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other han the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the novention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)
NAME
ADDRESS

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

NAME ADDRESS INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on

information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent is suing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Joseph C. Scaletta	
TITLE OF PERSON IF OTHER THAN OWNER	
ADDRESS OF PERSON SIGNING 11 Leonberg Ro	ad, Cranberry Township, PA 16066
A	
SIGNATURE	DATE ///10/00
	/ /

10

15

2.0

## HIGH PERFORMANCE MICROCELLULAR FOAM AND MANUFACTURING METHOD AND APPARATUS THEREOF

#### RELATED APPLICATION

This application is based on priority Provisional Application Serial No. 60/165.857, filed November 16, 1999.

#### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

The present invention relates to foam formulations and manufacturing methods and, in particular, to high performance microcellular foam, methods of manufacture and applications thereof.

### 2. Description of the Prior Art

Solid cast polyurethane systems generally result from the reaction of an isocyanate, a short chain glycol (or amine), and a long chain polyol. To achieve optimal properties, the number of reactive isocyanate and hydroxyl (amine) groups for a given formulation should be nearly equal. The type of isocyanate, glycol, and polyol can be altered to achieve desired properties. In addition, the hardness or modulus of the resulting polyurethane product can be adjusted through the ratio of long chain to short chain polyols in the system.

Polyurethane systems can be classified according to when and how the components are brought together. "One-shot" systems are formed from mixing the individual components all at once. Quasi-prepolymer systems are those in which a portion of the long chain polyol component is pre-reacted with the isocyanate to form an isocyanate-terminated prepolymer. To form the final product, this prepolymer (typically with an isocyanate content in the 15-25% range) is then reacted with the short chain glycol and the remainder of the long chain polyol component. Full prepolymer systems are prepared by pre-reacting the entire long chain polyol component with the isocyanate. The resulting prepolymer (with an isocyanate content typically less than 12% NCO) is then reacted (or cured) with the short chain polyol or amine to complete the reaction. Due to the high degree of reaction control, full prepolymer-based systems generally exhibit the best overall physical and dynamic properties of any polyurethane elastomer.

Microcellular polyurethane foams suitable for use in industrial applications are not very common. The most common use is in parts known as "jounce bumpers" which act as damping components in automotive strut suspension systems.

25

30

10

15

2.0

25

30

The chemical system is based on a polyester-based urethane prepolymer such as the one described in the present invention. However, the isocyanate component most often utilized in the jounce bumpers is 1,5-naphthalene diisocyanate (NDI), as contrasted with methylene diphenyl diisocyanate (MDI)-based systems. MDI-based microcellular systems can be used in other applications including shoe soles, acoustical and isolation damping, engine and tool mounts, and seals and suspension systems. Many of these systems, such as those used in the shoe sole applications, utilize "quasi-prepolymer" systems with isocyanate contents in the 15-30% range.

Problems discussed herein relate to deficiencies in <u>solid</u> polyurethane systems for achieving low durometers and proper load profiles. Improvement in foam properties of the current invention over typical microcellular products is achieved through the use of a prepolymer approach versus a quasi-prepolymer approach. The use of microcellular polyurethane foams in mechanical and industrial applications has been plagued with problems. One such problem has to do with the difficulty of achieving low durometer, low modulus solid polyurethane cast elastomers with properties capable of competing with soft (<~70 Shore A) rubbers. The current solution for this problem consists of adding plasticizers to polyurethane formulations to soften solid elastomers to the desired hardness. The problem with this approach is that, while proper plasticization does reduce hardness and modulus effectively, other physical, mechanical, and dynamic properties are negatively affected, particularly cut/tear resistance and overall toughness.

Another typical problem encountered with prior art solid polyurethanes is the inability to achieve the compressive load profiles required for certain roller/wheel conveying systems, such as bowling ball lift wheels/tracks and corrugated zero crush rolls. The current solution for this problem is to obtain proper compressive loads with solid elastomer materials, in which complex design elements are utilized. The problem with this approach is that the design elements lead to stress concentrations and fatigue points in the material, thereby reducing product life. Further, from the processing standpoint, sophisticated designs lead to high tooling and engineering costs, which can lead to inefficient production.

The full prepolymer approach generally imparts improved properties over traditional quasi-prepolymer-based systems. Currently, proper force levels are achieved

10

15

2.0

25

30

with solid elastomers through part design. The present invention allows the method of achieving desired load compression profiles using a compressible microcellular material, thereby allowing a solid cross-section design to improve part performance and reliability. These material and processing modifications result in design simplification, improved part performance and reliability, and improved processing efficiency. Examples will be presented for specific applications, which demonstrate advances in the art.

#### SUMMARY OF THE INVENTION

High performance microcellular polyurethane foam has been developed which is suitable for demanding applications requiring high toughness and excellent dynamic characteristics. The current invention includes full prepolymer systems with isocyanate contents in the 3-12% range. In addition, the high compressibility/extensibility of the cellular material provides a route for producing a low modulus material with physical properties typically not attainable in solid cast polyurethane systems. Such characteristics make the material suitable for numerous applications normally considered outside the realm of conventional solid systems. The unique property profile also allows part design modifications. The present invention includes a method of manufacturing a microcellular polyurethane foam comprising the steps of prepare a curative component by mixing a polyol, water, a foam surfactant, and a catalyst component comprising a standard solid cast polyurethane catalyst and a delayed-action tin catalyst; and mixing the curative component with an isocyanate-terminated prepolymer.

In addition, the techniques used to process the chemical system are different from standard solid cast polyurethane practice. Using particularly controlled water addition, foam surfactant addition, a modified catalyst system, adding a delayed action tin catalyst, and a reduced NCO/OH ratio, the process described herein creates a new high performance microcellular foam. This foam has special application for industrial parts that require a flexible, tough, highly compressible polyurethane material. For example, the present invention is particularly successful in the bowling equipment and the cardboard manufacturing industries.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a side view of a ball lift wheel using the current invention;

Fig. 2 is a cross-sectional view of a ball lift wheel using the current invention:

Fig. 3 is a cross-sectional view of the first embodiment of a zero crush roller using the current invention;

Fig. 4 is a side view of the first embodiment of a zero crush roller using the current invention:

Fig. 5 is a cross-sectional view of the second embodiment of a zero crush roller using the current invention;

Fig. 6 is a side view of the second embodiment of a zero crush roller using the current invention:

Fig. 7 is a cross-sectional view of the third embodiment of a zero crush roller using the current invention;

Fig. 8 is a side view of the third embodiment of a zero crush roller using the current invention;

Fig. 9 is a cross-sectional view of the fourth embodiment of a zero crush roller using the current invention;

Fig. 10 is a side view of the fourth embodiment of a zero crush roller using the current invention;

Fig. 11 is a cross-sectional view of the prior art bowling ball lift wheel;

Fig. 12 is a side view of the prior art bowling ball lift wheel;

Fig. 13 is a side view of the prior art zero crush roll;

Fig. 14 is a top view of the prior art zero crush roll;

Fig. 15 is a side view of a further prior art zero crush roll; and

Fig. 16 is a top view of a further prior art zero crush roll.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The current invention involves modifications to existing full prepolymer systems and their processing to allow preparation of a high performance microcellular foam product. Similar to standard solid systems, one component of the system is an isocyanate-terminated prepolymer with an isocyanate content less than 12%. The curative component consists of one or more short chain glycols. All work to date has involved MDI-ester prepolymers, although it is anticipated that the concept can be

- 4 -

2.5

30

20

5

10

15

10

15

20

25

30

reasonably extended to other common prepolymer compositions, including MDI-ester and TDI-based systems.

The method of producing the present invention includes, as a first step, the carefully controlled addition of water to the polyol component. The water reacts with the isocyanate end groups of the prepolymer to produce a carbamic acid intermediate. This intermediate immediately reacts with another isocyanate group to form a urea linkage and carbon dioxide gas. The liberated gas serves as the blowing agent in the system. As water level affects foam stiffness and density, water concentrations between 1% and 5% of the curative component have been utilized. Water concentrations between 1.5%-3% of the curative component is the preferred range. By contrast, in standard solid systems, care is taken to eliminate all sources of moisture due to bubble formation and the effect of resultant voids, affecting both aesthetics and performance.

Another step requires the addition of a foam surfactant to the polyol component. The surfactant stabilizes the bubbles formed in the blowing reaction to produce a finer and more consistent cell size and structure. Surfactant levels as low as 1% of the curative component have been found to be effective.

Also, the method of the present invention utilizes a modified catalyst system. A catalyst system is required which provides proper balance between the gelling and blowing reaction during mixing. The system consists of a standard solid cast polyurethane catalyst (i.e., DABCO 33LV) which, in the microcellular system, is effective in promoting the water reaction. In addition, a delayed action tin catalyst (i.e., Topcat 290) is added to drive the gelling reaction to completion. A ratio of 1.25:1 tin catalyst:solid cast polyurethane catalyst has been found to produce acceptable product. Without the tin catalysis, the integrity of the supporting polyurethane structure is slow to develop. As a result, fine cell structure is not achieved and dimensional stability of the foam is sacrificed.

The concentration of the catalyst in the polyol component can be adjusted to obtain the desired cream, tack-free, and demold times. Variables such as part size, part geometry, machine output, and productivity requirements will influence the catalyst level used. Concentrations of 0.5%-0.6% of the curative component has been used successfully to provide cream times of 10-20 seconds, tack free times of 45-60 seconds,

10

15

20

25

30

and demold times of 10-20 minutes. These demold times represent a significant decrease over comparable solid cast systems which typically require 30 minutes to one hour to develop the material strength required to demold parts without damage.

Finally, this method requires stoichiometry adjustment. The ratio of isocyanate groups to hydroxyl groups (typically called NCO/OH index) is an important parameter in polyurethane processing. While solid cast systems can be processed throughout a wide range of NCO/OH indices, the best overall elastomer properties are typically obtained at ratios in the 1.02-1.03 range. The slight excess of isocyanate groups at these ratios presumably leads to a slightly cross-linked structure which imparts the properties characteristic of full prepolymer solid cast systems, i.e., low compression set, excellent dynamic properties, and temperature resistance.

It has been found that the microcellular systems described in the current invention cannot be successfully produced within the typical NCO/OH ratios greater than 1.02, which are characterized by slow build-up of foam integrity, resulting in a product with coarse cell structure and poor dimensional stability. Similar results have been seen at NCO/OH ratios at or below 0.95. Based on observations thus far, acceptable cell structure can be obtained at ratios between 0.96 and 1.02. However, for production of optimum microcellular product, NCO/OH index should be controlled most preferably between 0.98 and 1.00.

Processing methods for the microcellular systems are similar to solid cast prepolymer systems in many respects. The components are heated and accurately metered via precision gear pumps into a dynamic mixhead. A prepolymer temperature of 170°F to 190°F is generally required to reduce viscosity to the 500-1500 centipoise level, allowing more efficient pumping and mixing with the low viscosity curative. Curative temperatures between room temperature and 150°F are typical for standard liquid curatives. Solid curatives require temperatures above their melting point. Once mixed, the material is dispensed into properly designed capped molds with appropriate venting to allow displacement of air as the system foams within the cavity. The following steps represent further unique differences in manufacturing the present invention versus its solid system counterparts.

10

15

20

25

30

The removal of dissolved gas from the prepolymer component of a solid cast system is critical for producing high quality void-free parts and is standard practice in solid cast polyurethane processing. Degassing is typically accomplished by either batch or continuous (thin film) methods by subjecting the prepolymer resin to a vacuum of at least 25mm Hg until the majority of the dissolved gases are removed. It has been found that the use of the standard prepolymer degassing step in the preparation of the microcellular foam of the present invention produces an unacceptable product with coarse, non-uniform cell structure. Thus, unlike solid cast systems, dissolved gases in the system of the present invention need not be removed and, in fact, are critical to the formation of acceptable foam structure. The dissolved gas acts to provide nucleation sites, which stabilize initial bubble formation. In order to control the gas content of the system, it has been found beneficial to maintain the prepolymer under elevated pressures during processing. The higher pressure assists in solubilizing sufficient gas to adequately nucleate the system and prevents the dissolved gas from diffusing out of the material. Application of 60-100 psi of dry nitrogen to the component tank has been found to be satisfactory for this purpose.

The formation of small, well dispersed bubbles during the initial stages of reaction are critical for producing the fine uniform cell structure noted in these systems. While eliminating the standard prepolymer degassing step is the most convenient approach to ensure gas content in the system, introduction of a gas at the mixhead is also a feasible approach. This can be accomplished effectively through the use of a mixer impeller design, which acts to whip air into the mixture. Another approach is the addition of a controlled amount of gas into the mixhead. This can be accomplished, for example, with a flowmeter–pressure regulator–needle valve arrangement capable of maintaining and controlling low gas flow rates.

Because of the nature of the catalyst system used, processing temperatures are also critical to producing the present invention. As mentioned above, component temperatures for microcellular systems lie in the same range as standard solid cast systems. However, the typical mold temperature range utilized for solid cast systems (200-250°F) is not appropriate. Due primarily to the temperature-dependence of the tin catalyst present in the system, mold temperature must be maintained between 160-200°F.

10

15

20

25

30

At temperatures outside of this range, unacceptable foam product is produced due to an improper balance in the competing water and urethane reactions. Defects due to improper temperature control include poor cell structure, scorched part surface, and other surface defects.

The microstructure of cellular polyurethane consists of thin polyurethane/urea walls which define domains (called cells) containing air or other gas. Thus, by definition, the density of microcellular compounds is somewhat less than that of the solid elastomer. The property profile of a given microcellular system is largely determined by this density, which must be taken into consideration during the design and production of foam parts. Various molded densities may be obtained from a given microcellular formulation by filling the mold cavity to different degrees. Consequently, tight control over the shot size of each pour is necessary to produce parts with a consistent molded density.

The so-called free rise density is defined as the density of the foam when allowed to blow and rise without constraint. The free rise density is characteristic of a given formulation and can provide a check that the formulation and parameters are within proper ranges. Factors affecting free rise density are water content in the formulation, catalyst level, and mold and component temperatures. Typically, enough material is introduced into the cavity to produce a molded part density, which is 1.5 to 2 times the free rise density. With insufficient material addition, the microcellular material may not completely fill the cavity or it may collapse or shrink after demold. If too much material is added, the pressure build-up in the mold may become excessive and the part may expand after demold making it difficult to hold dimensional tolerances. For the microcellular compounds of the current invention, free rise densities of 16-20 pounds per cubic foot (pcf) and molded part densities in the 25-40 pcf range are typical.

Additional high temperature cure of solid cast elastomer parts after demold is standard practice. This postcure is necessary to complete the curing process and provide a material with optimum properties. Typical postcures of 16 hours or more at temperatures in excess of 230°F are common. Microcellular parts develop strength very quickly during the reaction. In a very short time period after mixing, near optimum

10

15

20

25

30

physical properties are achieved. As a result, microcellular parts can be demolded much faster than solid cast parts and any additional postcure cycle can be eliminated.

The microcellular materials described above offer many advantages over solid systems. The microcellular materials provide the ability to achieve low modulus, highly flexible polyurethane material and parts which exhibit excellent physical properties, including high toughness, tear resistance, high compressibility, and puncture resistance. These methods produce excellent dynamic properties, including greater fatigue resistance due to less resistance to deformation. Easier and more efficient processing occurs due to faster demold times, no need for a prepolymer degassing step or postcure cycle, and reduced care needed in material handling to protect components from atmospheric moisture.

EXAMPLE

Isocyanate Prepolymer: Baytec MS-242 (6.7% NCO MDI-ester prepolymer)

	-	
Curative:	64%	Triethylene Glycol
	32%	1,4-Butanediol
	2.5%	Water
	0.30%	Topcat 290 catalyst
	0.24%	DABCO 33LV catalyst
	1.0%	DC-193 Surfactant

The components, when mixed at an NCO/OH ratio of 1.00, produce a microcellular product with a free rise density of 17-18 pounds per cubic foot (pcf), a cream time of 12-15 seconds, a tack free time of 45-60 seconds, and a demold time of 15 minutes. Parts molded in the 25-30 pcf range have been found to be useful in a number of applications including those described below.

A first application utilizing the present invention includes bowling ball lift wheels and lift tracks, which are used as components of OEM bowling equipment and which are designed to change the elevation of bowling balls from below grade to a comfortable height at the ball return station. As seen in Figs. 1 and 2, the bowling ball lift wheel 10 consists of a circular hub 12 surrounded by a circular molded foam 14 manufactured from the present invention. Overall, the bowling ball lift wheel 10 appears tire-like, with the circular hub 12 acting as the rim and the circular molded foam 14

10

15

20

25

30

acting as the tire. There are two bowling ball lift wheels 10 used in a counter rotating sense on each ball lift mechanism. The bowling ball lift wheels 10 are separated a certain distance in a vertical sense and each bowling ball lift wheel 10 is nested inside a set of two concentric arcs of opposite orientation. The lift tracks (not shown) are mounted to two parallel steel "C" tracks which comprise each concentric arc. The lift tracks provide the proper fit to effectively convey the ball through the mechanism as well as to provide a soft, durable transport surface which will not mark or mar the ball.

Once ejected from the back of the alley, the bowling ball returns via a track to the ball lift mechanism. At this point, the ball is trapped by way of interference between the lower bowling ball lift wheel 10 and the lift tracks. The lower bowling ball lift wheel 10 (rotating in a counter-clockwise direction as viewed from the left) deforms against the ball surface, generating force in order to lift the bowling ball through the arc until it is contacted by the upper bowling ball lift wheel 10. At this point, the ball is transferred to the upper lift tracks and upper bowling ball lift wheel 10 (rotating clockwise as viewed from the left), the direction of the ball is changed and the ball rolls along the upper arcs until interference is lost and the ball is released at the new elevation.

The advantages of using the present invention in this application are numerous. The soft pliable surface of the present invention is much softer than any current lift wheel designs. This results in a component that will not damage the surface of bowling balls and is completely non-marking. The solid cross-section of the bowling ball lift wheel 10 of the present invention is much less susceptible to failure when compared to the webbed design of the prior art waffle-style wheel (shown in Figs. 11 and 12) and thinner cross-section of the V-style wheels. Further, the solid cross-section transfers much less force to the interface of the bonded metal and foam, reducing failures associated with delamination of the circular molded foam 14 and the circular hub 12. The simple design allows for solid cross-section parts using less material than current designs, and the pliable full cross-section design allows for continual wear without failure. Damping nature of the present invention allows for smoother, quieter operation. The present invention is manufactured from simple inexpensive tooling compared to current designs, and, additionally, is manufactured much more efficiently than cast elastomer designs.

10

15

20

2.5

30

A second application of the present invention involves zero crush rolls 16, which are used in the manufacturing process for producing corrugated board-stock. During the manufacturing process, rolls of paper stock are fed into a corrugator machine. The corrugator applies a liquid starch and glue to the surfaces of the paper stock. Once the starch and glue is applied, the paper is fed through a series of rollers that form the paper to a corrugated configuration. After the paper stock is corrugated, additional sheets of paper are laminated to both sides. The end result is a corrugated board made-up of various layers of paper stock. The process of manufacturing the corrugated board-stock is a continuous process that requires a conveyor system to handle the fresh board so as not to crush the corrugated portion of the board.

The conveyor system is made-up of a series of parallel steel shaft rollers mounted with bearings to a steel frame. As seen in Figs. 3 and 4, the zero crush rolls 16 of the first embodiment consist of a circular molded solid cast elastomer 18 and a circular molded foam 14 of the present invention bound to the circular molded solid cast elastomer 18. The zero crush rolls 16 are either pressed onto or mounted to the steel rollers with the circular molded solid cast elastomer 18 capturing a bearing. The zero crush rolls 16 are designed to deflect easily under the weight of the board-stock being conveyed. The deflection of the zero crush rolls 16 preserves the integrity of the corrugated configuration as it is being conveyed in the wet state.

Prior art zero crush rolls, as seen in Figs. 13-16 are designed with two concentric rings that are joined together by a series of angular positioned ribs or ovular cut-outs. The preferred material is polyurethane that allows the roller to be cast as a homogeneous part. The thickness of these angular ribs or ovular cut-outs, along with the spacing, determines the deflection modulus of the prior art zero crush rolls. As the board-stock is conveyed the ribs or cut-outs on the zero crush rolls are constantly flexing. This constant flexing causes the ribs and cut-outs to fatigue resulting in the failure of the zero crush rolls.

The zero crush rolls 16 of the present invention do not require ribs by design, eliminating the major cause of failure in the current zero crush wheels. By engineering the ribs out of the wheels, the end user can expect longer life from them. This extra life reduces machine downtime due to fewer changeovers, therefore improving

10

15

20

25

30

machine productivity. Due to the design simplification, the tooling cost to produce the zero crush rolls 16 is reduced significantly. This design simplification allows higher quality zero crush rolls 16 to be produced without incurring extra expense. This equates to a cost saving for the end user. The same tooling can be used to produce a variety of zero crush rolls 16 that have different deflection modulus properties. This is accomplished by changing the density of the present invention.

The second embodiment of the zero crush rolls application is shown in Figs. 5 and 6. This embodiment varies the shape of the circular molded solid cast elastomer 18, but, as to the present invention, utilizes the same circular molded foam 14. Similarly, the third embodiment of the zero crush rolls application, as seen in Figs. 7 and 8, differs from the first and second embodiments only with respect to the shape of the circular molded solid cast elastomer 18. In the third embodiment, again the circular molded foam 14 of the present invention is bound to the circular molded solid cast elastomer 18. Finally, Figs. 9 and 10 show a fourth embodiment of the zero crush rolls application. Again, this embodiment uses the circular molded foam 14 of the present invention bound to a circular molded solid cast elastomer 18 of a differing cross-section than the previous embodiments.

The present invention is also applicable to other applications in addition to those mentioned above. In the bowling industry, the present invention can be utilized in the manufacture of ball lift wheels, ball lift tracks, pit cushions, deck pads and ball polishing tools. In the corrugated industry, the foam of the present invention may be used in manufacturing zero crush wheels, lead edge feed wheels, pull rolls, taper rolls, and die ejection material. The present invention is also applicable in the creation of sporting goods, such as archery targets, bicycle seat cushions, protective pads and helmets. Various other applications are also anticipated, for example, medical body support pads, outrigger pads, impact absorbing sheets, custom packaging, anti-vibration spacers and mounts, springs, protective coverings, sound dampening material, seals, and insulation.

Although the specific description of the herein disclosed invention has been described in detail above, it may be appreciated that those skilled in the art may make other modifications and changes in the invention disclosed above without departing from the spirit and scope thereof.

10

#### WE CLAIM:

 A method of manufacturing a microcellular polyurethane foam comprising the steps of:

selecting an isocyanate-terminated prepolymer containing less than 12% functionality;

preparing a curative component by mixing a polyol, water, a foam surfactant, and a catalyst component comprising a standard solid cast polyurethane catalyst and a delayed-action tin catalyst wherein said water comprises between 1% and 5% by weight of said curative component; and

mixing said curative component with said isocyanate-terminated prepolymer at a functional group (NCO/OH) ratio of about 1:1, provided that if said isocyanate-terminated prepolymer is present in excess relative to said curative component, the maximum percentage of said excess is 2%.

- 2. The method of claim 1 further comprising the step of dispensing the reactive mixture into a mold to cure.
- 3. The method of claim 2 further comprising the step of maintaining a mold temperature of about  $160\,^\circ F$  to about  $200\,^\circ F$ .
- 4. The method of claim 2 wherein the mold is configured to form a solid circular tire for attachment to a hub of a bowling ball lift wheel.
- 5. The method of claim 2 wherein the mold is configured to form a solid circular tire for attachment to a molded solid cast elastomer, which is attached to a steel roller for use as a zero crush roll in the manufacturing process for producing corrugated heard-stock.
- The method of claim 1 further comprising the step of preheating the prepolymer prior to the introduction of the curative component.

- The method of claim 1 further comprising the step of injecting a gas into the mixture of the curative component of the prepolymer.
- The method of claim 1 wherein the isocyanate-terminated prepolymer has an isocyanate concentration of less than about 10%.
- The method of claim 1 wherein the water concentration is between about 1.5 to about 3% by weight of the curative component.
- 10. The method of claim 1 wherein the foam surfactant concentration is above about 1% of the curative component.
- 11. The method of claim 1 wherein the ratio of tin catalyst to standard solid cast polyurethane catalyst in the catalyst component is about 1.25:1.
- 12. The method of claim 1 wherein the catalyst component concentration is between about 0.5 to about 0.6% of the curative component.
- 13. The method of claim 1 wherein the NCO to OH ratio is between about 0.96 and 1.02.
  - 14. The product manufactured according to the method of claim 1.
- 15. A method of making a solid circular tire for attachment to a hub of a bowling ball lift wheel, comprising the steps of:
- configuring a mold to a shape defining a solid circular tire for attachment to a hub of a bowling ball wheel lift;
- selecting an isocyanate-terminated prepolymer containing less than 12% functionality;

15

5

10

15

preparing a curative component by mixing a polyol, water, a foam surfactant, and a catalyst component comprising a standard solid cast polyurethane catalyst and a delayed-action tin catalyst wherein said water comprises between 1% and 5% by weight of said curative component;

mixing said curative component with said isocyanate-terminated prepolymer at a functional group (NCO/OH) ratio of about 1:1, provided that if said isocyanate-terminated prepolymer is present in excess relative to said curative component, the maximum percentage of said excess is 2%; and

molding the mixture thus produced in said mold.

- 16. The product manufactured according to the method of claim 15.
- 17. A method of making and using a solid circular tire, comprising: configuring a mold to a shape defining a solid circular tire; selecting an isocyanate-terminated prepolymer containing less than 12%

selecting an isocyanate-terminated prepotymer containing less than 129 functionality;

preparing a curative component by mixing a polyol, water, a foam surfactant, and a catalyst component comprising a standard solid cast polyurethane catalyst and a delayed-action tin catalyst wherein said water comprises between 1% and 5% by weight of said curative component;

mixing said curative component with said isocyanate-terminated prepolymer at a functional group (NCO/OH) ratio of about 1:1, provided that if said isocyanate-terminated prepolymer is present in excess relative to said curative component, the maximum percentage of said excess is 2%;

molding the mixture thus produced in said mold;

attaching the solid circular tire thus formed to a molded solid cast elastomer; and

attaching said molded solid cast elastomer to a steel roller for use as a zero crush roll in the manufacturing process for producing corrugated board-stock.

18. The product manufactured according to the method of claim 17.

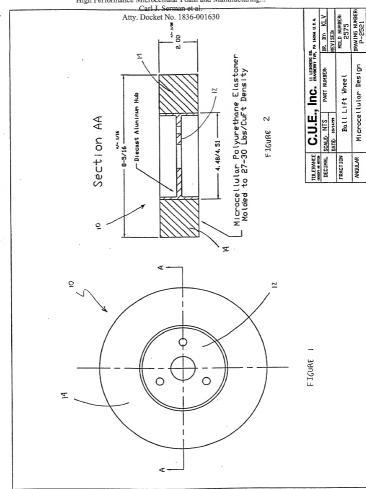
19. The method of claim 1 further comprising the step of applying pressure to one or both of the components during processing.

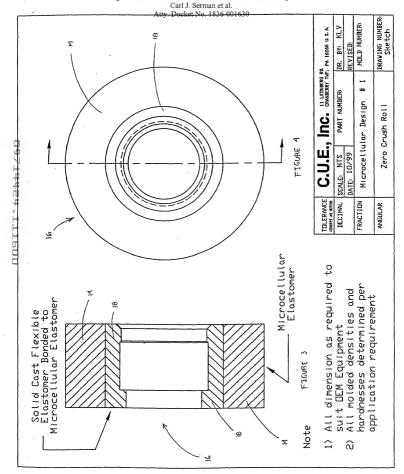
10

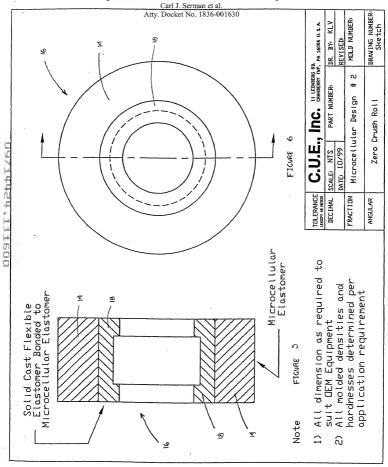
# HIGH PERFORMANCE MICROCELLULAR FOAM AND MANUFACTURING METHOD AND APPARATUS THEREOF

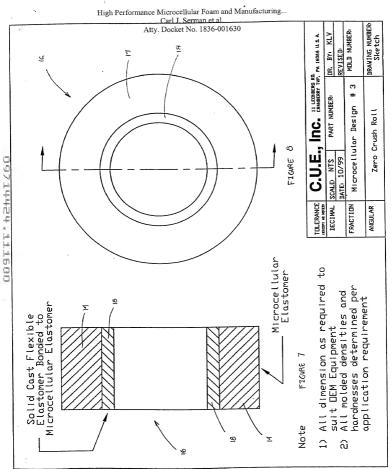
#### ABSTRACT

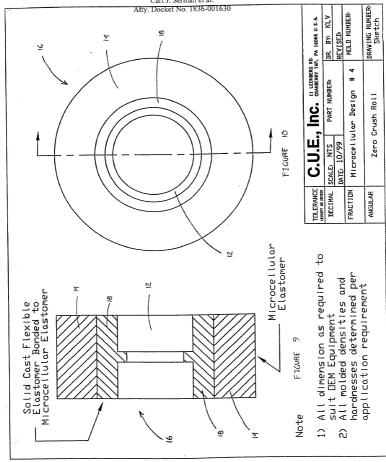
The present invention is a high performance microcellular polyurethane foam suitable for numerous applications normally considered outside the realm of conventional solid systems. Also included in the present invention is a method of manufacturing the high performance microcellular polyurethane foam using controlled water addition, foam surfactant addition, a modified catalyst system, the addition of a delayed action tin catalyst and a reduced NCO/OH ratio. The present invention has special application for industrial parts, especially the bowling equipment industry and the cardboard manufacturing industry.



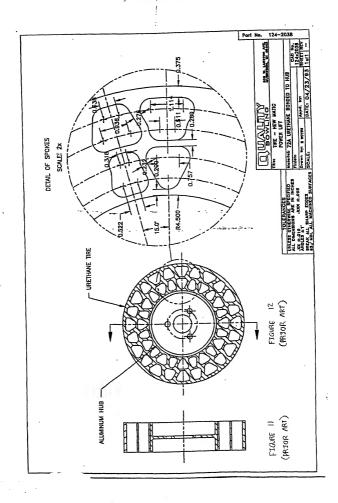




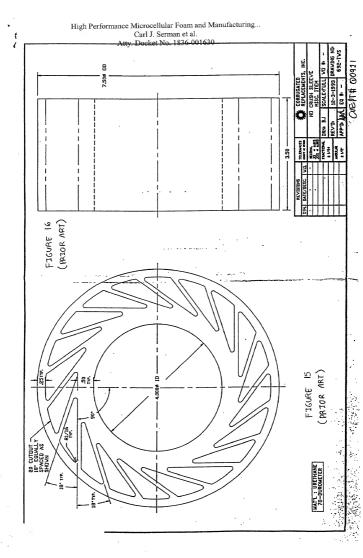




High Performance Microcellular Foam and Manufacturing... Carl J. Serman et al. Atty. Docket No. 1836-001630



High Performance Microcellular Foam and Manufacturing... Carl J. Serman et al. Atty. Docket No. 1836-001630 DRAWING NO 691-TWS CRUSH SLEEVE (METRIC) SIMDN SCALE FULL VD #1 CORRUGATED REPLACEMENTS, INC. \$5,906 [150,00] 10-19-1993 EQ # - 1.969 [50.00] -呈 REV'D APP'D: PRICE ART DRN FIGURE DECIMAL XX = \$.005 XXX = \$.005 FRACTIDIAAL TOLERANCES ± 1/64 ANGULAR \* V2 3 DATE/DESC. REVISIONS 7.50000 . . . 630 [16,00] Q 0920 36 SLOTS . #3.150 EB0.003 ( PRIOR ART ) FIGURE 13 .236 [6.00] å 1) MAT'L 1 🕼 DURD URETHANE るっ花



#### DECLARATION AND POWER OF ATTORNEY

We, Carl J. Serman and Kary L. Valentine, declare:

We are citizens of the United States of America and residents of Butler County, whose post-office addresses are 209 Cricketwood Court, Cranberry Township, Pennsylvania 16066 and 117 Union Church Road, Mars, Pennsylvania 16046, respectively.

We believe ourselves to be the original, first and joint inventors of the improvement in "High Performance Microcellular Foam and Manufacturing Method and Apparatus Thereof" described and claimed in the application filed herewith.

We have reviewed and understand the contents of the specification, including the claims.

That this application claims the benefit of carlier filed United States Provisional Patent Application Serial No. 60/165,857, filed November 16, 1999.

That, as to the subject matter of this application, which is common to said provisional patent application, we do not know and do not believe that the same was ever known or used in the United States before our invention thereof; or patented or described in any printed publication in any country before our invention thereof or more than one year prior to said provisional patent application; or in public use or on sale in the United States more than one year prior to said provisional patent application.

That said common subject matter has not been patented or been made the subject of an inventor's certificate before the date of said provisional patent application in any country foreign to the United States on an application filed by us or our legal representatives or assigns more than twelve months prior to said provisional patent application.

That the first filed application for patent or inventor's certificate on said invention set forth in said povisional patent application filed by us or our legal representatives or assigns in any country foreign to the United States is: none.

As to the subject matter of this application which is not common to said provisional patent application, we do not know and do not believe that the same was ever known or used in the United States before our invention thereof; or patented or described in any printed publication in any country before our invention or more than one year prior to the date of this application; or in public use or on sale in the United States more than one year prior to the date of this application.

That said subject matter of this application which is not common to said provisional patent application has not been patented or made the subject of an inventor's certificate in any country foreign to the United States on an application filed by us or our legal representatives or assigns more than twelve months prior to the date of this application.

That the first filed application for patent or inventor's certificate on said invention set forth in this application filed by us or our legal representatives or assigns in any country foreign to the United States is: none.

We acknowledge our duty to disclose information of which we are aware which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a), including matters as occurred between the filing date of our said provisional patent application and the filing date of this application.

We declare further that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

We hereby appoint William H. Logsdon, Registration No. 22,132; Russell D. Orkin, Registration No. 25,363; David C. Hanson, Registration No. 23,024; Richard L. Byrne, Registration No. 28,498; Frederick B. Ziesenheim, Registration No. 19,438; Kent E. Baldauf, Registration No. 25,826; Barbara E. Johnson, Registration No. 31,198; Paul M. Reznick, Registration No. 33,059; John W. McIlvaine, Registration No. 34,219; Blynn L. Shideler, Registration No. 35,034; Julie W. Meder, Registration No. 36,216; Lester N. Fortney, Registration No. 38,141; Randall A. Notzen, Registration No. 36,882; James G. Porcelli, Registration No. 33,757; Kent E. Baldauf, Jr., Registration No. 36,082; Christian E. Schuster, Registration No. 43,908; Dean E. Geibel, Registration No. 42,570; Thomas J. Clinton, Registration No. 40,561; Nathan J. Prepelka, Registration No. 43,016; Jessica M. Sosenko, Registration No. 47,102; and Kirk M. Miles, Registration No. 37,891, whose post-office address is 700 Koppers Building, 436 Seventh Avenue, Pittsburgh, Pennsylvania 15219-1818, Telephone No. 412-471-8815, our attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, to amend the specification, to appeal in case of rejection, as they may deem advisable, to receive the patent when granted and generally to do all matters and things needful in the premises, as fully and to all intents and purposes as we could do.

All correspondence and telephone calls should be addressed to Russell D. Orkin.

We hereby subscribe our names to the foregoing specification and claims, declaration and power of attorney.

Date 11/15/00 Inventor Carl Symman

Date 11/15/00 Inventor Kary La Valentine